

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Andrew F. Silverman et al.

Group Art Unit: 3624

Serial No.: 09/680,771

Examiner: J. Patel

Filed: October 6, 2000

For: Order Centric Tracking System and Protocol For Communications With Handheld Trading Units

**FAX RECEIVED**  
**OCT 12 2005**  
**OFFICE OF PETITIONS**

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

A corrected filing receipt is hereby requested in view of the errors which appear in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been highlighted. Specifically, under the point of contact and address should read:

Chadbourn & Parke LLP  
30 Rockefeller Plaza  
New York, NY 10112

Also, under the continuing Data as Claimed by Applicant(s) should read:

This Application is a CIP of 09/413, 270, 10/06/1999, now USP 6,505,175  
and is a CIP of 09/413,150 10/06/1999, now USP 6,625,583  
This Application also claims priority of 60/157,987, 10/06/1999.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 03-1240, Order No. 17209-009.

Respectfully submitted,  
Chadbourn & Parke LLP

Dated: October 12, 2005

By:

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/680,771	10/06/2000	2161	1080	3499-86	11	6	6

## FILING RECEIPT

Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10166

Chadbourne & Parke LLP  
30 Rockefeller Plaza  
New York, NY 10112



\*OC000000005693825\*

Date Mailed: 01/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Continuing Data as Claimed by Applicant

~~THIS APPLN CLAIMS BENEFIT OF 60/157,987 10/06/1999~~

## Foreign Applications

This application is a CIP OF 09/413,270, 10/6/1999, NOW USP 6,505,175  
AND IS A CIP OF 09/413,150 10/06/1999, NOW USP 6,625,583  
This application also claims priority OF 60/157,987, 10/6/1999

If Required, Foreign Filing License Granted 12/08/2000

## Title

Order centric tracking system and protocol for communications with handheld trading units

## Preliminary Class

705

Data entry by : BATES, DIANA

Team : OIPE

Date: 01/22/2001

1 1/2/01